

213.1079-CIP

UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Not Yet Known Group Art Unit: 1621
Re: Application of: GREENWALD, R. B. *et al.*
 Serial No.: 09/183,557
 Filed: October 30, 1998
 For: **POLYMERIC PRODRUGS OF AMINO- AND
HYDROXYL-CONTAINING BIOACTIVE
AGENTS**

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

January 7, 1999

Sir:

Pursuant to M.P.E.P. §609 and 37 C.F.R. §§1.56, 1.97-1.99, Applicants herewith a PTO 1449 Form along with the cited reference. Applicants also submit herewith a copy of the Information Disclosure Statement and PTO-1449 documents filed March 10, 1998 and a Supplemental Information Disclosure Statement filed March 25, 1998 corresponding to the parent application USSN 08/992,435. Copies of the references made part of the file history of parent application have not been included at this time. At the request of the Examiner, additional copies will be furnished. /

This submission does not represent that a search has been made or that no better art exists. While the term "references" is used in citing the U.S. patents called to the Examiner's attention herein, Applicants do not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law.

Applicants reserve the right to contend, where appropriate, that a patent asserted against any claim of the present application is not prior art under the facts and the law.

Applicants also reserve the right to present appropriate arguments and/or evidence to establish patentability over the references, should one or more of the references be applied against the claims of the present application.

Applicants request that the Examiner independently determine those items which the Examiner would consider the most pertinent of all the references cited herein.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits. Accordingly, this document complies with the requirements of 37 C.F.R. §1.97(b). No fee is due under 37 C.F.R. §1.17(p). Alternatively, if it is determined that any fee is due or any overpayment has been made, the Commissioner is hereby authorized to charge or credit such sum to Deposit Account No. 50-0217. A duplicate of this letter is enclosed. It is respectfully requested that the references cited be considered and made of record.

Respectfully submitted,

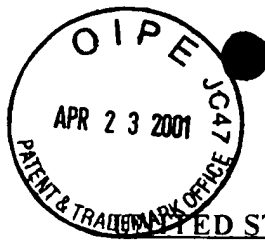
ROBERTS & MERCANTI, L.L.P.

By: Mark A. Wilson
Mark A. Wilson
Reg. No. 43,275

ROBERTS & MERCANTI, L.L.P.
P.O. Box 484
Princeton, New Jersey 08542-0484
(609)921-3500

I hereby certify that this correspondence and/or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to "Assistant Commissioner for Patents, Washington, DC 20231" on January 7, 1999.
ROBERTS & MERCANTI, L.L.P.

BY: Mark A. Wilson
Mark A. Wilson



213.1079-CIP

UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Not Yet Known

Art Unit: 1621

Re: Application of:

GREENWALD, R. B., *et al.*

Serial No.:

09/183,557

Filed:

October 30, 1998

For:

**POLYMERIC PRODRUGS OF AMINO- AND
HYDROXYL-CONTAINING BIOACTIVE
AGENTS**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner
For Patents
Washington, D.C. 20231

March 12, 1999

Sir:

Enclosed herewith is a PTO-1449 form along with the two cited references to be called to the Examiner's attention. A copy of each reference is included herewith.

This submission does not represent that a search has been made or that no better art exists. While the term "references" is used in citing the U.S. Patent called to the Examiner's attention herein, Applicants do not make any admission that it is a "prior art" reference within the meaning of the statutory and case law.

Applicants reserve the right to contend, where appropriate, that a patent asserted against any claim of the present application is not prior art under the facts and the law.

Applicants also reserve the right to present appropriate arguments and/or evidence to establish patentability over the references, should one or more of the references be applied against the claims of the present application.

Applicants request that the Examiner independently determine those items which the Examiner would consider the most pertinent of all the references cited herein.

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fee is being deposited with the United States
Postal Service as first class mail in an envelope
addressed to "Assistant Commissioner for Patents",
Washington, D.C. 20231" on March 12, 1999.
ROBERTS & MERCANTI, L.L.P.

BY: 

Robert A. Wilson

An office action on the merits has not been received to date. Therefore, no fee is believed due under 37 C.F.R. §1.17(p). However, if the Assistant Commissioner determines that any fee is due or any overpayment has been made for this application, he is authorized to charge or credit such sum to Deposit Account No. 50-0217. A duplicate copy of this sheet is enclosed.

It is respectfully requested that these references be considered and made of record.

Respectfully submitted,

ROBERTS & MERCANTI, L.L.P.

By: Mark A. Wilson
Mark A. Wilson
Reg. No. 43,275

ROBERTS & MERCANTI, L.L.P.
P.O. Box 484
Princeton, New Jersey 08542-0484
(609) 921-3500

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FILE NO. 213.1079-CIP

March 12, 1999 MAW/

Patent Application of: GREENWALD, Richard B., *et al.*

Serial No. 09/183,557

Filed: October 30, 1998

Entitled: "POLYMERIC PRODRUGS OF AMINO- AND HYDROXYL-
CONTAINING BIOACTIVE AGENTS "

Supplemental IDS (2 pages, one duplicate)
with first class mail certification

PTO Form 1449 (1 page)

2 references

RECEIVED:



213.1079

UNITED STATES PATENT & TRADEMARK OFFICE

Examiner: Unknown

Art Unit:

Re: Application of:

GREENWALD, Richard B. et al.

Serial No.:

08/992,435

Filed:

December 21, 1997

For:

**POLYMERIC PRODRUGS OF AMINO-
CONTAINING BIOACTIVE AGENTS**

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

March 10, 1998

Sir:

Pursuant to M.P.E.P. §609 and 37 C.F.R. §§1.56, 1.97-1.99, Applicants herewith submit form PTO-1449 (2 sheets) which list the references to be called to the examiner's attention. A copy of each of the references is included herewith.

This submission does not represent that a search has been made or that no better art exists. While the term "references" is used in citing the U.S. patents called to the Examiner's attention herein, Applicants do not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law.

Applicants reserve the right to contend, where appropriate, that a patent asserted against any claim of the present application is not prior art under the facts and the law.

Applicants also reserve the right to present appropriate arguments and/or evidence to establish patentability over the references, should one or more of the references be applied against the claims of the present application.

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Postal Service as first class mail in an envelope
addressed to "Assistant Commissioner for Patents
Washington, D.C. 20231" on March 10, 1998.
ROBERTS & MERCANTILE, L.L.P.

BY:


Michael N. Mercanti

Applicants request that the Examiner independently determine those items which the Examiner would consider the most pertinent of all the references cited herein.

Commonly-assigned United States Application Serial No. 09/000,676 discloses subject matter related to that disclosed herein.

An Office Action has not been received to date. Therefore, no fee is due under 37 C.F.R. §1.17(p). However, if the Assistant Commissioner determines that any fee is due or any overpayment has been made for this application, he is authorized to charge or credit such sum to Deposit Account No. 50-0217. A duplicate copy of this sheet is enclosed

It is respectfully requested that these references be considered and made of record.

Respectfully submitted,

ROBERTS & MERCANTI, L.L.P.

By: 

Michael N. Mercanti

Reg. No. 33,966

ROBERTS & MERCANTI, L.L.P.
P.O. Box 484
Princeton, New Jersey 08542-0484
(609) 921-3500
IDS.transmittal.wpd

UNITED STATES PATENT & TRADEMARK OFFICE

Examiner: Unknown

Art Unit: 1614

Re: Application of:

Richard B. GREENWALD, et al.

Serial No.:

08/992,435

Filed:

December 17, 1997

For:

**POLYMERIC PRODRUGS OF AMINO-
CONTAINING BIOACTIVE AGENTS**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Hon. Assistant Commissioner
for Patents
Washington, D.C. 20231

March 25, 1998

Sir:

Enclosed herewith is a PTO-1449 form (1 sheet) along with one cited reference. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Accordingly, this document complies with the requirements of 37 C.F.R. §1.97(b) and no fee is required. If, on the other hand, it is determined that a fee is due or that any overpayment has been made, it is requested that such sum be charged or credited to Deposit Account No. 50-0217.

It is respectfully requested that the reference cited in the accompanying PTO-1449 Form be considered and made of record.

Respectfully submitted,

ROBERTS & MERCANTI, L.L.P.

By: 

Michael N. Mercanti

Reg. No. 33,966

ROBERTS & MERCANTI, L.L.P.
P.O. Box 484
Princeton, New Jersey 08542-0484
(609) 921-3500

I hereby certify that this correspondence and/or
fee is being deposited with the United States
Postal Service as first class mail in an envelope
addressed to "Assistant Commissioner for Patents",
Washington, D.C. 20231 on March 25, 1998.

ROBERTS & MERCANTI, L.L.P.

BY: 

Michael N. Mercanti



213.1079-CIP

January 7, 1999

NNM/mw

Patent Application of:

Serial No.:

GREENWALD, R. B. et al.
09/183,557

Filed:

October 30, 1998

Entitled:

POLYMERIC PRODRUGS OF
AMINO-AND HYDROXYL-
CONTAINING BIOACTIVE AGENTS

Information Disclosure Statement (2 pages, 1 page in duplicate) with PTO 1449 Form (1 page) with Laid-off certificate
with first class mail certification
Information Disclosure Statements and PTO 1449 Forms
from parent application (4 pages)
Supplemental Information Disclosure Statement and PTO
1449 Forms from parent application (2 pages)

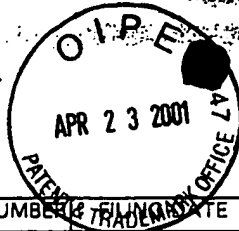
DUE DATE: Before 1st Office Action

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Checked by:

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/183,557 | 10/30/98 | 1621 | \$538.00 | 213.1079-CIP | 6 | 33 | 3 |

MICHAEL N MERCANTI
ROBERTS AND MERCANT
P O BOX 484
PRINCETON NJ 08542-0484

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

RICHARD B. GREENWALD, SOMERSET, NJ; ANNAPURNA PENDRI,
MATAWAN, NJ; YUN H. CHOE, PISCATAWAY, NJ.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/992,435 12/17/97

FOREIGN FILING LICENSE GRANTED 11/13/98
TITLE

* SMALL ENTITY *

POLYMERIC PRODRUGS OF AMINO- AND HYDROXYL-CONTAINING BIOACTIVE AGENTS

PRELIMINARY CLASS: 568



0400 03-27-01

UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 213.1079-CIP3

Examiner: UNKNOWN

Art Unit: 1646

Re: Application of:

GREENWALD, Richard B. *et al.*

Serial No.:

09/758,993

Filed:

January 12, 2001

For:

TETRAPARTATE PRODRUGS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

April 18, 2001

Sir:

Enclosed herewith are copies of the Information Disclosure Statements and PTO-1449 documents filed in the parent application (U.S. Serial No. 09/183,557) and predecessor application (U.S. Serial No. 08/993,435) to the present application. Also submitted is a copy of the corresponding International Search report, Written Opinion, and International Preliminary Examination Report issued in the PCT application corresponding to USSN 09/183,557.

Copies of the references made part of the file history of the parent applications have not been included at this time. At the request of the Examiner, additional copies will be furnished. All references cited in the PCT search reports were not already of record are included herewith. Copies of the references cited in the PCT Search Reports which were not previously cited in the parent application have been included herewith.

I hereby certify that this correspondence and/or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to "Assistant Commissioner for Patents, Washington, D.C. 20231" on April 18, 2001.

ROBERTS & MERCANTI, LLP

BY:

Michael N. Mercanti

This submission does not represent that a search has been made or that no better art exists. While the term "references" is used in citing the U.S. patents called to the Examiner's attention herein, Applicants do not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law.

Applicants reserve the right to contend, where appropriate, that a patent asserted against any claim of the present application is not prior art under the facts and the law.

Applicants also reserve the right to present appropriate arguments and/or evidence to establish patentability over the references, should one or more of the references be applied against the claims of the present application.

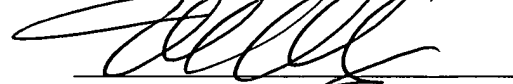
Applicants request that the Examiner independently determine those items which the Examiner would consider the most pertinent of all the references cited herein.

This Information Disclosure Statement is filed within three (3) months of the filing date of this application and before the mailing date of the first Office Action on the merits. Accordingly, this document complies with the requirements of 37 C.F.R. Section 1.97(b). It is respectfully requested that the references cited in the accompanying PTO-1449 Forms be considered and made of record.

No fee is believed to be due. Any deficiency or overpayment concerning this document should be charged or credited to Deposit Account No. 50-0217.

Respectfully submitted,

ROBERTS & MERCANTI, L.L.P.



Michael N. Mercanti

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ROBERTS & MERCANTI, L.L.P.

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